	Application Number	10/720,511				
TRANSMITTAL FORM	Filing Date	11/24/2003				
(to be used for all correspondents after initial filing)	First Named Inventor	Bruce J. Savatsky et				
ER 23 2004 13	Group Art Unit	Unassigned				
( EB 2 3	Examiner Name	Unassigned				
Total Number of Pages To This Submission 4	Attorney Docket Number	2002U022.US				
Trestances	ENCLOSURES (check all that a	apply)				
Fee Transmittal Form	Assignment Papers (for an Application)			After Allowance Communication to Group		
Preliminary Amendment / Response	<ul><li>□ Drawing(s)</li><li>□ Licensing-related Papers</li></ul>			Appeal Communication to Board of Appeals and Interferences		
☐ After Final ☐ Affidavits/declaration(s)						
Extension of Time Request	Petition Routing Slip (F			Appeal Communication to		
. ☐ Form-1449	and Accompanying Pe			Group (Appeal Notice, Brief, Reply Brief)		
☐ Information Disclosure Statement	To Convert a Provision Application	nal		Proprietary Information		
<ul><li>✓ 1449 Form</li><li>✓ Copies of Cited References</li></ul>	Power of Attorney, Re			Status Letter		
_	Change of Correspondence Address  Terminal Disclaimer Two (2)  Notice to File Corrected Application Papers			Additional Enclosure(s) (please identify below):		
Certified Copy of Priority Documents)						
☐ Response to Missing Part/				Postcard		
Incomplete Application						
Response to Missing Parts	☐ Substitute Specification					
	REMARKS					
	JRE OF APPLICANT, ATTORNI					
Firm Or Osborne K. McKinney		Registration No. 40,084				
Individual name Signature						
Date 2-20-04						
	CERTIFICATE OF MAILIN	G		V-4		
I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:						
				February 20, 2004		
Typed or printed name  Tammy L. Hodge\$						
Signature	r: Hodas	Date F	ebruary 2	20, 2004		
0	710-10		#*** :·			



П

TRANSMITTAL OF NFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)  Attny. Docket No. 2002U020.US					
In Re Application of: Bruce J. Savatsky et al.					
U.S. Serial No. 10/720,511	Filing Date November 24, 2003	Examiner Unassigned	Group Art Unit Unassigned		

Address to:

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## 37 CFR § 1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

## 37 CFR § 1.97(c)

The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

- 1. a Final Action under § 1.113,
- 2. a Notice of Allowance under § 1.311, or
- 3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or
the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

## 37 CFR § 1.97(d)

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the submitted herewith.	Information Disclosure Statement, USPTO form 1449,
☐ Legible copies of the non-US references are	enclosed.
☐ This application is a: ☐ Continuation / Divisional, ☐ Continuation-in-Part,	
of U.S.S.N not enclosed. References are a	. Copies of the cited references are vailable in the parent application(s) if not enclosed.
The Commissioner is hereby authorized to chapaper, or credit any overpayment, to <u>Deposit Ac</u>	rge any additional fees which may be required by this count Number 50-0589.
2-20-04	Ol R. h. j
Date	Osborne K. McKinney
	Registration No. 40,084 Univation Technologies, L.L.C.
	5555 San Felipe, Suite 1950
	Houston, Texas 77056-2723
	(713) 892-3711 Voice
	(713) 892-3687 Facsimile

FORM - 1449



SHEET	1	OF	1

TRADEMAN		ATTY. DOCKET NO.		SERIAL NO.				
			2002U022.U	10/720,511				
INFORMATION DISCLOSURE STATEMENT			APPLICANT					
O I I I I I I I I I I I I I I I I I I I			Br	Bruce J. Savatsky et al.				
(Use	sever	al sheets if neces	ssary)	FILING DATE		GROUP		
				11/24/200	3	Unassigned		
U.S. PATENT DOCUM				TENT DOCUMENTS				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	
	AA	4,243,619	01/06/1981	Fraser et al.	264	40.6		
	AB	4,543,399	09/24/1985	Jenkins, III et al.	526	70		•
	AC	4,588,790	05/13/1986	Jenkins, III et al.	526	70		
	AD	5,352,749	10/04/1994	DeChillis et al.	526	68		
	AE	5,405,922	04/11/1995	DeChillis et al.	526	68		
	AF	5,436,304	07/25/1995	Griffin et al.	526	68		
	AG	5,442,019	08/15/1995	Agapiou et al.	526	82	· ·	
	AH	5,462,999	10/31/1995	Griffin et al.	526	68		
	AI	5,672,665	09/30/1997	Agapiou et al.	526	82		
	AJ	5,753,786	05/19/1998	Agapiou et al.	526	82		
	AK	5,747,612	05/05/1998	Agapiou et al.	526	82		
	AL	6,218,484	04/17/2001	Brown et al.	526	68		
			FOREIGN	PATENT DOCUMENTS	S			
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSL YES	ATION
	AM	WO 00/58377	10/05/2000	PCT				
	OT	HER DISCLOSURI	ES (Including Au	ithor, Title, Date, Pertine	nt Pages of	Publication, F	Etc.)	
	AO USSN 10/683,711 filed October 10, 2003							
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EXAMINER	1	1		DATE CONSIDERED				
*EXAMINER:	Ini	tial if citation consider	ed, whether or no sidered. Include c	t citation is in conformance opy of this form with next c	with MPEP	609. Draw line	though citation	on if not in